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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/644,625

08/20/2003

Christopher A. Poirier

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10/18/2005

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FORT COLLINS, CO 80527-2400

EXAMINER

WHITMORE, STACY

ART UNIT

PAPER NUMBER

2825

DATE MAILED: 10/18/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/644,625

Applicant(s)

POIRIER ET AL.

Examiner

Stacy A. Whitmore

Art Unit

2825

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 20 July 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 23 August 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## FINAL ACTION

### Claim Rejections - 35 USC § 102

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

1. Claims 1-3, 8-13, 16-21, and 24-27 rejected under 35 U.S.C. 102(e) as being anticipated by Shakkarwar (US Patent 6,694,492).

2. As for claims 1-3, 8-13, 16-21, and 24-27, Shakkarwar discloses the invention as claimed, including the system/ means for, method, and computer program product, having an IC on a VLSI die, and embedded micro-controller on the die adapted to monitor and control the VLSI environment to optimize the IC operation, and further monitors one or more of temperatures at one or more locations, power supplied to the IC, the IC power supply, clock frequency, power supply voltage, power supply current to the IC, fuse for providing hardware selection of parameters that are monitored, firmware, controlling the environment to optimize an IC operating power level/frequency to approach a design limit, reducing power supply voltage/clock frequency in response to over temperature [fig. 1, elements 130, 107, 137, 149, 139, 103; col. 3, lines 16-19, 34-36, 44-47; col. 4, lines 4-13, 20-54; col. 6, lines 34-62; col. 9, lines 26-29, 44-52; col. 10, lines 1-3, 22-29, and 59-62].

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 4, 14, 22, and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shakkarwar (US Patent 6,694,492) in view of Rogenmoser (US Patent Application Publication 2003/0225999).

As for claims 4, 14, 22, and 28, Shakkarwar discloses the invention substantially as claimed, including the including the system/ means for, method, and computer program product, having an IC on a VLSI die, and embedded micro-controller on the die adapted to monitor and control the VLSI environment as cited above in the rejection of claim 1. Shakkarwar further discloses temperature sensor for the purpose of reducing operating frequency as cited in the rejection of claim 1.

Shakkarwar does not specifically disclose the IC having two or more processor cores each with an integer and floating point unit and temperature sensors at each of the units or transferring a processing workload from one unit to another.

Rogenmoser discloses an having two or more processor cores each with an integer and floating point unit as well as reducing the operating frequency and transferring a processing workload from one unit to another [fig. 4, paragraphs 0057, 0064-0067; paragraphs 0045, 0048, 0049-0052].

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Shakkarwar and Rogenmoser because applying Shakkarwar's monitoring of temperature at each of the units for over temperature and transferring a processing workload from one unit to another would maintain a processor such as Rogenmoser's to within design limits for overheating which would meet restrictions for export [see Rogenmoser, paragraph 0064].

4. Claims 5, 15, and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shakkarwar (US Patent 6,694,492) in view of Kim, K. (Korean Patent Publication 9405466 B1).

5. As for claims 5, 15, and 23, Shakkarwar discloses the invention substantially as claimed, including the including the system/ means for, method, and computer program product, having an IC on a VLSI die, and embedded micro-controller on the die adapted to monitor and control the VLSI environment as cited above in the rejection of claim 1. Shakkarwar further discloses monitoring and controlling current to the IC as cited above in the rejection of claim 1.

Shakkarwar does not specifically disclose ammeters comprising VCOs.

Kim discloses ammeters comprising VCOs

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Shakkarwar and Kim because monitoring current levels with ammeters and VCOs would provide Shakkarwar's system with a way of determining current by utilizing voltage and resistance for calculation which would further aid Shakkarwar's system to determine over-temperature due to current levels.

6. Applicants arguments dated July 20, 2005, have been fully considered but they are not persuasive.

In the remarks, applicant argues in substance:

A: Shakkwar or the combination of Shakkwar and (Rogenmoser or Kim) do not disclose including the system/ means for, method, and computer program product, having an IC on a VLSI die, and embedded micro-controller on the die adapted to monitor and control the VLSI environment to optimize the IC operation.

Examiner respectfully disagrees for the following reasons:

As to A: Shakkwar or the combination of Shakkwar and (Rogenmoser or Kim) does disclose including the system/ means for, method, and computer program product, having an IC on a VLSI die, and embedded micro-controller on the die adapted to monitor and control the VLSI environment to optimize the IC operation [fig. 1, as cited above in the rejection of claims 1, 8, and 16, and especially elements 130, 107, and 148, and col. 4, lines 20-54; and col. 6, lines 39-62. Shakkwar discloses that the internal controller, element 130, (an embedded microcontroller) is able to monitor and control the operation of the integrated circuit via a thermal sensor or battery sensor as well as test vectors and/or user programming and/or diagnostic programming to adjust the operation of the integrated circuit in order to control things such as at least operating voltage or operating rate.

7. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the

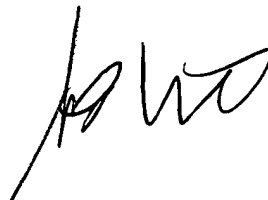
shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stacy A. Whitmore whose telephone number is (571) 272-1685. The examiner can normally be reached on Monday-Thursday, alternate Friday 6:30am - 4:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew Smith can be reached on (571) 272-1907. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Stacy A Whitmore  
Primary Examiner  
Art Unit 2825



SAW  
October 17, 2005